

CURRICULUM VITAE

PERSONAL DETAILS

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Formal Education:

- St. 10 (With distinction)
(Gert Maritz High School, Pietermaritzburg, 1972).
- BSc (University of Stellenbosch, 1976).
 - Courses: Applied Mathematics 3,
Computer Science 3, Physics 3,
Mathematics 2, Chemistry 1, Accounting 1
- BSc (Hons, Computer Science) (UNISA, 1980).
- CISA (I.S. Audit & Control Association, 1989).
- MBA (Edinburgh Business School, Scotland, 1997).
- ICMQ (London Securities Institute, 1998).

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HOBBIES & INTERESTS

Squash.

Cricket.

Rugby.

Swimming.

Music.

Investment Analysis (Investing on JSE, Dow Jones, NASDAQ).

PROFESSIONAL SOCIETIES AND CERTIFICATIONS

Board member Licensed Investment Analysts (LIFA)

Licensed Services Provider & Compliance Officer with Financial Services Board (FSB); No FSP22947.

Member Computer Society of SA (CSSA)

Certified Information Systems Auditor (CISA)

Fellow Institute of Risk Management South Africa (FIRMSA)

Member Business Continuity Institute (MBCI)

COURSES ATTENDED

Accountancy II (1977)

Economics I (1987)

Statistics II (1982)

DELTAK computer courses (1980)

NATURAL programming (1990)

ADABAS Concepts (1990)

ADABAS DBA Skills (1990)

UNIX Security Features (1991)

Analysis & Design Courses (1980)

ADA Programming (1987)

C programming (1983)

Tarsus Retrieval Software (1990)

SWIFT II Auditor's Overview (1992)

Decision Making Techniques (1991)

Marketing and Selling Skills (1989)

Aligning Business and IT Strategies (1996)

Smart Card Technology (1992)

Strategic Planning (MBA course, 1995)

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Strategic Information Systems (MBA elective, 1995)
Marketing (MBA course, 1995)
Accounting (MBA course, 1996)
Organizational Behaviour (MBA course, 1996)
Quantitative Methods (MBA course, 1996)
Finance (MBA course, 1997)
Economics (MBA course, 1997)
Negotiation (MBA elective, 1997)
Internal Quality Systems Auditing (Q-Data, 1997)
An Overview of SAP/R3 (SAPSA; 1997)
SAP/R3 Basis System (SAPSA; 1997)
Registered Persons Modules; ICMQ (3 required modules completed; 1998, 1999)
Certificate in Web Security (RAU; 1999)
Chartered Financial Analyst (CFA) - Level 1 (Passed; 1999)
Programming in Java; Introduction, Intermediate, Advanced (June 2000)
Business Plans; Simplified Strategic Planning (Self)
Internet training (Self)
Full Brain Learning (Sasol)
Gartner 2001 Symposium
Sasol 2020 Management Conference (October 2001)
Securities Institute (SI) Diploma; Interpretation of Financial Statements (Credit); Investment Analysis (Registered); 2001/2002.
Meta 2002 Symposium.
Disaster Recovery Planning Seminar August 2002.
Forex Trading Course 25-26 July 2002, Progressive Systems College.
Gartner Conference August 2003.
Complete Continuity (BCP and DRP) August 2004.
Sarbanes-Oxley Section 404 Training.
Technical Analysis (Colin Abrams), August 2006.

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EXECUTIVE SUMMARY

An IT and business professional with over 20 years of experience in the computer and finance/investment industries. This experience ranges from the analysis, design, development and implementation of different types of computer systems, ranging from systems related to the Mining industry, to commercial, financial and sophisticated real-time and process control systems. Project management experience gained on subsystems.

Consulting experience includes areas such as facilities, operations/IT infrastructure and systems development, and IT Risk Management. Most experience in consulting has been related to IT security issues.

Good experience in IT Human Resources, and client liaison, managing client portfolios and dealing with clients on a senior level. Excellent interpersonal and negotiation skills. Excellent communication and report writing skills. Good knowledge of agreements/contracts.

An extract from a test conducted by DR J.C. De Ridder (Consulting Psychologist) follows:

" An individual with a balanced temperament, a most alert intellect, a hardworking application and a pleasingly relaxed external impact. The person is soundly qualified, well experienced, he has a steady record of job success and he has the ability to make effective contact".

Experience in investments is on a personal basis. Manages own share portfolio on JSE, and has been investing actively since June 1996. Managing own order book and portfolio through the Internet, with PSG Securities and T-SEC. Started investing on Dow Jones and NASDAQ, using Seaport Securities. Areas of specialization are IT, telecommunications and the Internet.

SA Portfolio includes equity and call warrants.

Knowledge from current studies (CFA and Securities Institute) will initially be used for own benefit, with the possibility of moving into I.T. Research or Corporate Finance, should the right opportunity present itself.

Forex trader (part-time).

Spread trading.

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Research into futures trading.

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BUSINESS CAREER HISTORY

January 2005 onwards: Self-employed

- Part-time lecturer IGCSE Accounting and AS Accounting at British International College (BIC), Pretoria.
- Part-time lecturer IGCSE and HIGCSE Accounting British International Distance College (BIDC), Bryanston.
- Part-time lecturer Sunnyside Learning Centre Accounting Grades 11 and 12.
- Part-time lecturer Mathematics Grades 11 and 12.
- Part-time lecturer at Da Vinci Institute for Information Technology.
- Equities and commodities spread trading GT247 (own account).
- Contracts for Difference (CFD) simulated trading at GT247.
- Training on spread trading and CFDs.
- Forex Trading FXCM and GCI Forex.
- Director Brookfield Investments 189 (Pty) Ltd, trading as Wealth e Trader (Pty) Ltd; equities analysis and training, specialising in Spread trading. Participated in establishment of company August 2005, resigned 30 November 2005.
- Senior equities and derivatives analyst and advisor to Sharegate (Pty) Ltd . End May 2005 - 20 April 2006.
- Affiliated with Equities Derivatives Investments (Pty) Ltd (EDI) as an Equities Analyst and Derivatives trader on 24 April 2006:
 - Production of morning report to clients and prospective clients.
 - Trading the SAFEX TOP 40 Futures index.
 - Trading on own and client accounts on SAFEX, using Single Stock Futures (SSFs).
 - Research and trading on CFDs, Spreads, (SSFs), and Pairs Trading.

June 2000 - December 2004

- Joined SASOL Head Office in October 2000 as Manager: Audit Services, effective October 2000. Promoted to Manager: Group IT Risk Management, effective 1 March 2001. Involved in initiative to set up Enterprise Programme Management Office (EPMO) within Sasol. Responsible for Risk Management on large IT Project within Sasol. Usage of ITIL and COBIT as standards.

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- Involved in wider Risk Management for Sasol Group, with specific responsibility for Group IT Risk Management, and liaising with Group Enterprise Risk Management and Internal Audit to ensure alignment.
 - Development of an IT Risk Management Strategy, and planning the implementation and rollout of this Strategy.
 - Conduct IT Risk Management workshops in order to identify and assess risks, following and agreed upon methodology. This methodology embedded in a package "KnowRisk", which is being used by the Group.
 - Setting up and review of Business plans, research on strategic planning.
 - Ownership of BCP/DRP initiative throughout Sasol Group. Establishment of Steering Committee and currently busy ensuring that DRP/BCP plans are put in place for the entire Sasol Group. DR Plans for four sites have been tested. Overseas IT Service Continuity (ITSC) underway, and plans for two sites will be in place by the end of 2004.
 - Owner of the establishment of an Enterprise Programme Management Office (EPMO) for the Group. The Proof of Concept demonstrated in December 2002. Project Management Offices (PMO's) rolled out during 2003, and project handed over to contractors to complete.
 - Involved in working group to establish SLAs for the Group, using ITIL as standard.
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- Development of "**Healthcheque**" service product to assess company operational, financial and strategic IT position. (Private). It also addresses governance and company growth issues.
 - Part-time lecturer on MBA programme, subjects "Issues in Financial Reporting" and "Financial Decision Making". Usage of various analytical techniques to determine financial status of companies, including Black-Scholes model. Investigating further lecturing options. Moderator on student dissertations (June 2000 - June 2001).
 - Investment analysis, researching IT companies, managing portfolios on NASDAQ and JSE.
 - Training FOREX markets (Forex Trader); currently busy.

May 1997 - May 2000

Position/Company: TRANSNET Group, South African Airways (SAA).

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- SAA IT&S outsources effective 1 April 2000 and is bought by Atraxis (Pty) Ltd. Decide to accept severance package.
- Appointed as Head: Finance & Governance, SAA IT&S, effective 1 March 1999. Responsibilities include:
 - Responsibility over a staff complement of approximately 15 people, including five Managers.
 - Ultimate responsibility for IT Finances, including budgeting process. Use of Excel spreadsheets.
 - Project capital budgeting; assessing financial viability of projects.
 - Responsible for IT purchasing.
 - IT Governance.
 - IT Contracts, setting new standards, total revamp of contracts, contract ownership allocation.
 - IT Asset Management and Configuration Control.
- Seconded to SAA IT&S, effective 4 January 1999, as Project Evaluation Specialist. Responsibilities included:
 - Assessing financial and technical viability of projects. Includes usage of Discounted Cash flow and NPV and IRR calculations.
 - Input to Project Review Committee (PRC).
 - Evaluation, assessment and compilation of contracts.
 - Member of Y2K Steering Committee, responsibilities including member of Contracts task team.
- Manager, Transnet Group Audit Services (TGAS). Seconded to South African Airways (SAA), and given responsibility for the SAA application systems from a consulting (troubleshooting), control and risk management perspective. Experience included:
 - Involvement in RAD, unit, system, integration and User Acceptance Testing on the new SAA Passenger Revenue Accounting system. Co-ordination and monitoring of UAT process. Member of Project Steering Committee.
 - Migration from SAP/R2 to SAP/R3 (underway-on hold).
 - SAA ISO 9001 certification process.
 - SAA Yield Management system.
 - Interviewing and managing staff. Monitoring performance.
 - Manage the audit of the SAA Cargo application (SAFRON).
 - Monitoring and controlling system upgrades and conversions.
 - Review of SAA Licence Agreements/contracts with third parties.

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- Report preparation for Audit Committee purposes.
- Continuous client communication and liaison.
- Providing independent advice during work sessions.
- Member Project Review Committee (PRC), assessing technical and financial viability of projects.
- Member Y2K Steering Committee. Monitor project progress and conduct independent compliance tests. Manage contractors.
- Member Project Group to review SAA IT&S Infrastructure.

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August 1996 - April 1997:

- Worked as freelance agent at Stock Market College in Houghton, selling their education program PROFIT, and related software that downloads and analyses stock data.
- Attended interviews and studying towards MBA degree.
- Joined Old Mutual as a independent Financial Advisor.
- Research and active investment on JSE. Active investment in warrants.

October 1995 - July 1996

Company: PERSETEL (Pty) Ltd

Position: Senior Business Development Manager (BDM)

Achievements/Experience:

The position included the following major responsibilities:

- Taking overall responsibility for several of the company's clients, and staying abreast of the progress of whatever projects were underway at the client. The BDM was therefore the single contact and liaison person between PERSETEL and the client.
- Indirect selling, i.e., identifying new opportunities at clients, and introducing the appropriate specialist groups from PERSETEL to the client.
- Staying abreast of technological development on a wide front, to ensure that opportunities could be recognized. Conducting internal client Strategic Planning sessions, to ensure client needs were being addressed.

Reason(s) for leaving: Employer was expecting increased direct sales involvement.

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March 1993 to September 1995; February 1989 to April 1991

Company: Deloitte & Touche.

Position: Senior Manager, Computer Assurance Services (CAS).

Achievements/Experience:

- Assignment abroad to assist in development of Controls Workbench.
- Management of assignments and client portfolios.
- Secondment to large client, and took responsibility for the implementation of required controls on several of the computer systems under development. Gained experience on development methodologies and CASE tools used by client.
- Review of implementation of Security Policies and Standards.
- Various technical control reviews of AS/400 computer installations, also abroad.
- Management of post-implementation application control reviews.
- Review of UNIX platform and Novell NetWare operating system.
- Extensive experience in writing proposals and reports.
- Member of CAS Human Resources subcommittee. Responsible for interviewing and hiring staff. Conductance of performance appraisals.
- Investigating problem- and change management issues at clients.
- Technical expertise in the following business/technical areas:
 - Merchant- and commercial banks; security reviews.
 - Review data integrity of ADABAS, IMS, IDMS, DB2 databases.
 - Medical Aid Societies.
 - Data retrieval and analysis using SAS and ACL.
 - NATURAL SECURITY SYSTEM (NSS).
 - LAN security (Banyan Vines, NetWare). Data encryption.
 - Security Packages (RACF, Top Secret and ACF2).
 - MVS/ESA and MVS/XA.
 - HOGAN Access Security.
 - Computer Contracts and Service Level Agreements.
 - Knowledge of IBM, DEC, ICL, Burroughs and PC hardware and software.

Reason(s) for leaving: Lack of adequate recognition and promotion.

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May 1991 - February 1993.

Company: Amalgamated Banks of SA (ABSA).

Position: Senior Manager.

Achievements/Experience:

- Initially head of United Bank Computer Audit Division, just prior to the merger of the three banks which initially made up ABSA.
- After merger, initially in charge of technical audit division, and later also applications audit division.
- Responsibilities included:
 - Management of computer audit staff.
 - Prioritization of projects.
 - Liaison with business and IT staff members at ABSA.
 - Attend to training requirements of staff.
 - Project management and looking after Quality Assurance.
 - Direct involvement in certain projects:
 - ABSA Data Security Policy.
 - Disaster Recovery Planning. Monitoring execution of Disaster Recovery plans.
 - Follow-up of operational issues.
 - RACF reviews.
 - Review of external audit work.

Reason(s) for leaving: Did not manage to get the senior position after merger; "turbulence" within banking group after merger.

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October 1987 - January 1989.

Company: Coopers & Lybrand.

Position: Senior Consultant.

Achievements/Experience:

- Reviews and analyses of risks, mostly in technical and system software environments.
- Research work using "SAVOIR" expert system shell to automate the report writing process after a review had been conducted.
- Provision of advice and guidance on the running of installations, and the setting up of contracts between a client and external contractors.
- Usage of retrieval software (Tarsus and SAS) to extract and analyze data for line (operational) auditors.

Reason(s) for leaving: Small department, and head of department left. Left department effectively "leaderless". Approached by Deloitte & Touche.

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January 1985 - September 1987

Company: ISIS Information Systems.

Position: Senior Systems Analyst; Project Analyst.

Achievements/Experience:

- Participated in all phases of the SDLC in developing a real-time radar monitoring and graphic display system. Development took place in a controlled and structured environment, using the Yourdon and Constantine development methodology. There were project milestones with fixed deadlines. Formal Acceptance Test Plans (ATPs) were drawn up for each milestone. They had to be signed off by the client.
- The above system was developed on DEC and INTEL hardware platforms, and the programming languages used were RTL-2 and ASSEMBLER. Some middleware was developed.
- Participated abroad in the high-level design of a real-time graphic display system, which would eventually interface with the system described above.
- Initial design and (test) programming of system using ADA programming language.

Reason(s) for leaving: Deliberate decision to move out of system development into IT consulting.

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January 1983 - December 1984.

Company: TELKOR - Digital Systems Division.

Position: Software Engineer/Systems Programmer.

Achievements/Experience:

- Participated in the design and development of a real-time telemetry and process-control system for Portnet (SATS). The system was developed using Data General hardware, operating in dual, hot standby mode. Programming languages used were ALGOL and ASSEMBLER, and the operating system was RDOS.
- Personal responsibility was for the design, programming and installation of the offline variables and alarms of the system. Also co-responsible for programming some tasks (ASSEMBLER), and the final integration testing of the entire system on-site in Cape Town.
- Developed a data dictionary system on DEC VAX hardware, using the C and Modula-2 programming languages, and VAX/VMS as operating system. Designed and coded interface routines to access a data dictionary in sequential and/or random fashion.
- Participated in the writing of the requirements and functional specifications for a real-time monitoring system for ESKOM.

Reason(s) for leaving: Company was bought by Reunert, which resulted in a lot of management turnover and uncertainty.

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Jan - Jun 1977; Jun 1979 to Jan 1983. (Jul 1977 - May 1979: SA Army).

Company: De Beers Consolidated Mines Ltd.

Position: Programmer, Analyst, and Senior Technical Analyst.

Achievements/Experience:

- Participated in the analysis, design, programming, testing and installation of numerous computer systems, ranging from Technical, Mining/ Mine Planning, Metallurgical, Geological and Commercial systems.
- Programming languages used were BASIC, PL/1, FORTRAN, SAS (extensively) and CICS/COBOL.
- Extensive usage of SAS to analyse data for the Geological department to determine trends in samples. Usage of cluster analysis and other statistical techniques available in SAS.
- Numerical and statistical analysis to predict ore reserves, using SAS.
- Developing a sophisticated Mine Planning system, using SAS and HP-Basic, which was commissioned at a mine in West Africa.
- Using iterative methods and changing volume, mass and density to simulate the operation of the Metallurgy plant, and which assisted Engineers and Metallurgists in controlling fluid flow and density.
- Hardware platforms exposed to were IBM mainframes running under OS/VS1 and MVS/XA, and Hewlett Packard Desktop computers using BASIC.

Reason(s) for leaving: To advance career.

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